

D.J. #6 8-27-02  
Pre Audit/C

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Sorrells *et al.*

Appl. No. 10/086,367

Filed: March 4, 2002

For: **Method and System for  
Frequency Up-Conversion**



Confirmation No. (*to be assigned*)

Art Unit: (*to be assigned*)

Examiner: (*to be assigned*)

Atty. Docket: 1744.0020007

### Third Preliminary Amendment

Commissioner for Patents  
Washington, DC 20231

Sir:

Prior to examination of the captioned application, please enter the following Amendment. This Amendment is provided in the following format:

- (A) A clean version of each replacement paragraph/section/claim along with clear instructions for entry;
- (B) Starting on a separate page, appropriate remarks and arguments. 37 C.F.R. § 1.111 and MPEP 714; and
- (C) Starting on a separate page, a marked-up version entitled: "Version with markings to show changes made."

It is not believed that extensions of time or fees for net addition of claims are required, beyond those which may otherwise be provided for in documents accompanying this paper. However, in the event that additional extensions of time are necessary to allow consideration of this paper, then such extensions of time are hereby petitioned under 37 CFR § 1.136(a), and any fees required therefor (including fees for net addition of claims) are hereby authorized to be charged to Deposit Account No. 19-0036.

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